
Diving into a Large Corpus of Pediatric Notes

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Abstract

This paper is about an ongoing project in which we hypothesize that infant colic has causes that can be illuminated by digging into a large corpus of pediatric notes collected at the New York Presbyterian Hospital. Our ultimate goal is to conduct a large-scale study to understand infant colic and potentially other conditions, through Machine Learning on large, high-dimensional datasets. We present our preliminary exploration of the notes to bring them in a form amenable to Machine Learning.

1. Motivation

Electronic Health Records (EHRs) are today widely used to record *Clinical Data* reporting on patient healthcare such as visits, diagnoses, labs tests, images, conditions and medications. In a study on healthcare informatics (Stead & Lin, 2009), the authors point out that in observing medical personnel in action, a large amount of the time of physicians and nurses was spent on entering data, and much less on reading data. There is thus an enormous, underused and potentially invaluable resource for understanding the prevalence and nature of many health problems. Our main goal is to make this data accessible and useful.

ML coupled with advanced computation capabilities represent a genuine approach to explore EHR data and

solve difficult problems, that wouldn't have been possible many years ago.

We consider *infant colic* as an example of challenging conditions that can be elucidated through learning from the data collected in pediatric notes by medical professionals, their prognoses and correlations with many risk factors. The focus of our study is the New York Presbyterian Hospital (NYPH) longitudinal data about mothers and babies. These clinical notes constitute an unexplored “gold mine” that offers an unprecedented opportunity to help understand, predict and diagnose different diseases and conditions. Without loss of generality, we focus in this paper on infant colic, but the framework that we have been building in this pilot study is meant to be extendable to other conditions and diseases.

The contributions of this paper are as follows:

1. Assembling a large heterogenous corpus of pediatric notes collected from the NYPH;
2. Tackling *colic*, a poorly-understood infant condition through an initial exploration of the corpus and descriptive statistics;
3. Using topic modeling to explore the notes which showed promise to help label patients;
4. Opening the door to applying ML to other understudied and poorly-understood conditions (e.g. prematurity).

This paper is organized as follows: infant colic and the related medical literature are described in Section 2, followed by related work in Section 3. In Section 4, we provide challenges of digging into a large heterogenous corpus of pediatric notes along with a description and statistics of our preliminary data. Statistics about colicky babies are provided in Section 5. Section 6 is about using topic models to explore the notes. We

finally conclude with a summary and future work in Section 7.

2. Infant Colic

Infant colic is defined as persistent inconsolable crying in healthy babies between 2 weeks and 4 months of age, where the baby seems to be in great discomfort and is difficult to soothe. Colic is not a disease but a serious condition with medical and social consequences, yet its causes remain a mystery. Prevalence rates of excessive crying vary between definitions. Estimates of the number of affected infants aged 0-6 months who cry three or more hours a day, three or more days a week, during three or more consecutive weeks for no clear cause (Wessel’s criteria (Wessel et al., 1967)), range from 2% to 5% (Reijneveld et al., 2001).

Colic is associated with Shaken Baby Syndrome (SBS), infant brain damage that results when a caregiver violently shakes a baby (Barr et al., 2006), (Fujiwara et al., 2009). SBS, highly correlated with colic and crying, affects between 1,200 and 1,600 babies each year in the US. Median estimates of the number of deaths range from 20-25%, or between 240 and 400 deaths per year in the US. This number is roughly half of all deaths due to child abuse. Nonfatal consequences include visual impairment such as blindness, motor and cognitive impairments. Recent studies suggest that excessive crying in infancy can lead to mother postpartum depression (Vik et al., 2009). Finally, colic is costly for healthcare systems, due to various ineffective medications, doctor’s office and emergency room visits. The medications doctors prescribe to treat colic or identify its causes often have side effects but don’t provide a cure. The medical literature on colic is a mix of hypotheses to explain this mysterious condition based on small datasets. These include lack of bacteria in the intestines, reflux, lactose intolerance, maternal smoking, and parental depression, to cite a few.

In this paper, we use a sample of babies from NYPH, a large urban hospital, to illustrate the type of comprehensive profile we can construct from clinical notes of colicky babies and clinicians’ approach to treatments.

3. Related Work

Clinicians convey valuable information about patients, both in the structured and free-text sections of the EHR. Researchers in informatics have investigated ways in which this information can be leveraged for several applications: clinical decision support systems (Demner-Fushman et al., 2010), genome-wide as-

sociation studies (Kullo et al., 2010; Kho et al., 2011), syndromic surveillance (Hripcsak et al., 2009), pharmacovigilance (Wang et al., 2009), and clinical research (Pakhomov et al., 2007; Himes et al., 2009; Wei et al., 2010).

There are several challenges entailed in processing longitudinal patient information reliably. Our dataset contains a mix of inpatient and outpatient notes, each containing different types of note structures (some with a mix of template- and free-text). While a bag-of-words approach to feature extraction is attractive, one can hope to get valuable information from shallow semantic information derived from the notes. As such, the preprocessing step for feature extraction requires to identify first the document-level structure of the notes (Denny et al., 2009; Li et al., 2010), along with list items and sentence boundaries. Sentences are then processed to extract medical terms. The identification of medical terms needs to rely on external, established terminologies, such as the UMLS, but there are also many institution-specific terms and abbreviations present in the notes, which are not covered by the UMLS. Traditional clinical NLP tools, like MedLEE (Friedman et al., 2004) leverage an internal lexicon in addition to the UMLS.

Because we focus on infant colic, our dataset contains notes not only about the patients themselves (the infants), but also their mothers. An important processing step for feature extraction is to distinguish which information pertains to the infant and which to the mother. While there has been recent work towards studying co-reference resolution in clinical notes (Savova et al., 2011), little was done to identify whom a clinical event pertains to (the patient or a family member).

4. Assembling the Data

Through our collaboration with pediatricians at the NYPH (Salleb-Aouissi et al., 2010), we have assembled a dataset of heterogeneous notes and lab reports for a sample of babies being followed in one of NYPH clinics. The sample of pediatric notes we have obtained from the Eclipsys EHR system, used at NYPH since 2007, spans about two years and a half of data and concerns a population of 1,240 babies. Longitudinal data is available for each baby patient and is presented with a set of notes of different types (templates) stored in one folder. Each folder is named by the Medical Record Number (MRN) of the corresponding baby patient. A note is a single text file with a name:

`Number_MRN_NoteType_Date_time.txt`

where `Number` is a chronological number. For example,

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Informant/Chief Complaint/HPI

- Informant: Mother
- Interpreter Used: No
- Chief Complaint: crying
- HPI: 21do ft infant crying a lot last night. Some nasal congestion. No fever. Drinking Similac 3oz q 2hr. No vomiting. No hard stools. No sick contacts.
- Pain: No
- Allergies: None

Ambulatory Flowsheet

- Weight Weight in pounds lb 9
- Weight Weight in ounces oz 14
- Weight Weight (lbs) lbs 9.87
- Weight Weight (kg) kg 4.477
- Temperature Temperature (F) 98.2 degrees F
- Temperature Temperature (C) 36.7 degrees C

Physical Exam

- General Appearance: Alert and active, well developed, CALM BABY
- Skin: Without lesion
- Eyes: Red Reflex, b/l CONJ CLEAR B
- Ears: Auditory canal clear, tympanic membrane clear, good light reflex, landmarks, present bilaterally
- Nose/Throat: Pharynx noninjected, no exudate, No oral lesions, RHINORRHEA
- Head/Neck: Anterior fontanelle open and flat
- Nodes: Without lymphadenopathy
- Lungs: No retractions, normal respiratory excursions, clear to auscultation bilaterally, good aeration bilaterally.

Physical Exam continued

- CV: Regular rate and rhythm, Normal S1/S2 No rubs, murmurs or gallops., Femoral pulses present.
- Abdomen: masses, Soft non tender non distended
- GU Male: Normal external genitalia, testes descended bilaterally L HYDROCELE NO HERNIA
- Extremities: NO HAIR TOURNIQUETS ON FINGERS OR TOES
- Back: No sacral dimple or tufts
- Neuro: Grossly Intact

Patient Education

- Learner: Mother
- Barriers to Learning: none
- Topics taught: COLIC
- Methods of teaching: Explained
- Outcome: Verified/demonstrated

Assessment/Plan

- Impression: COLIC, nasal congestion
- Plan: 1. discussed using swaddling and white noise, 2. saline drops

Medication Reconciliation

- Medication Reconciliation performed this visit?
- Medication Reconciliation: No changes to current home medication list.

Signatures Date, Dr X.

Figure 1. Example of follow-up note.

000012_1234567_Amb_Peds_Follow-up_Note_2010-06-30-15.31.00.354.txt is the 12th note of the patient with MRN 1234567 (fictional), a follow-up note created on 2010-06-30 at 15:31pm.

In our sample data we identified 243 types of inpatient and outpatient notes. These represent only a fraction of the large possible note types that healthcare professionals can choose from in the EHR system. There are over 900 possible note templates used at NYPH (Vawdrey, 2008)

Statistics about the notes are provided in Table 1 that include the number of babies, number of notes overall, the minimum, maximum and average number of notes per baby and time span in days. Number of colicky and premature babies are also provided.

Statistic	Value
Total number of babies	1,240
Number of colicky babies	40
Number of premature babies	86
Number of note types	243
Total number of notes	34,069
Minimum number of notes per baby	1
Maximum number of notes per baby	258
Average number of notes per baby	27.5
Minimum time span of notes in days	1
Maximum time span of notes in days	862
Average number of days	317.1

Table 1. Some Statistics on the notes

We have access to plain text exports of the notes, with no specification regarding the underlying format.

Some of the data we have acquired is structured (e.g. patient demographics, childbirth conditions); most is recorded in an unstructured and free text format (e.g. notes from physicians). Note that note structures differ from one type of note to another. The notes exhibit a wide range of fields consisting of named sections (e.g., Birth History), named fields within sections (e.g., Apgar Score), and field values of various types, such as measurements and dosages, fixed values from a menu (e.g., Normal spontaneous vaginal delivery), or free text (*colicy (sic), but consolable*). Much of the vocabulary requires domain expertise for interpretation (e.g., Absolute Nrbc Count). The free text exhibits idiosyncratic abbreviations and shorthand (e.g., *no PHM now with gm +*), as well as misspellings (e.g., *colickly like his brother*). Because colic is a set of symptoms rather than a disease, practitioners vary in whether they use the term; other terms may be used instead, such as *acid reflux (GERD)*.

Figure 1 gives an example of a note that we deal with. It represents an excerpt of a long pediatric follow up note filled by a pediatrician, in which a 21 day old full term baby whose mother complained about *crying* as stated in *Chief complaint* and *HPI (History of Present Illness)* sections. The colic topic was taught to the mother by the pediatrician and the mother received instructions about how to swaddle and use white noise to soothe her baby. The baby was diagnosed with colic in the *Impression* section of the note by the physician.

There is a wide variety of notes including inpa-

tient nursery, ancillary, social work, neurology, NICU, surgery, nutrition, OB/GYN delivery, to cite a few. Most of the notes are created by Doctors - 17908 notes (52.6%), Nurses - 12558 notes (36.9%), patient financial advisors 6155 notes (18.1%), social workers - 1055 notes (3.1%). The rest of the notes are created by other hospital personnel including therapists, clinical nutritionists, and admin staff. Some of the notes have more than one author. The electronic documentation templates in Eclipsys allow different authors to add information to the same note at different time (Vawdrey, 2008). In our current sample, 22.9% of the notes have more than one author.

Note template	Cum.%
Amb_Peds_Follow-up_Note	14.5
Miscellaneous_Nursing_Note	26.6
Amb_Ancillary_Note	38.4
AMB_Care_Triage_Telephone_Triage_Form	47.4
Amb_Peds_Walk-in_Note	53.9
Amb_Peds_Newpat_Newbrn_Note	57.3
Nursing_Neonatal_Patient_History	60.1
Amb_Specimen_Collection_Note	62.9
AMB_Scanned_Documents	65.2
Newborn_Nursery_MD_-_Miscellaneous_Note	67.3
Newborn_Nursery_Attending_Admission_Note	69.3
Newborn_Nursery_Attending_Discharge_Note	71.2
Newborn_Discharge_with_Appointment_Note	73.0
Amb_Peds_Miscellaneous_Note	74.4
OB_Delivery_Record	75.6

Table 2. Top 15 most frequent note templates.

The cumulative percentage of the top 15 most frequent note templates used is presented in Table 2.

Examples of note types in our sample include:

1. Pediatrics follows up: notes for a scheduled visit of a patient who has been seen before;
2. Nursing: brief free-text notes, written by nurses including information about transfers to baby nursery, bathing, baby general appearance, breastfeeding, immunization and medication given;
3. Ancillary and triage telephone: notes mostly written by financial advisors, nurse/medical assistants. They are free text and do not contain clinical information;
4. Pediatrics walk-in: a note for an acute care visit by a patient who has either called in or walked in complaining of an acute illness that needs to be seen that day;
5. Pediatrics new patient newborn: note from the first visit a patient makes to the clinic.
6. Nursing neonatal patient history: this note provides mother history (e.g. obstetrical information, past medical history) and newborn assess-

ment (e.g. physical exam, vital signs);

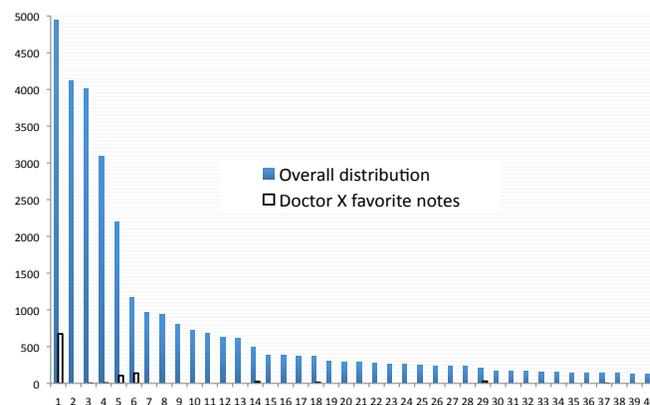


Figure 2. Distribution of the different types of notes present in our corpus.

Because of the complexity of the EHR systems and the different possibilities to enter clinical data, each healthcare practitioner rely on different subsets of note templates selected among the different types of notes in the EHR system. Hence, the need to process *all* of the notes given that a same clinical information can be documented by 2 different users in different types of notes. The distribution of the different types of notes overall as opposed to those used by a given practitioner is provided in Figure 2. Note that the most frequent note used, `Pediatric_follow_up_note`, which is as described earlier a note about a scheduled visit, is also the most frequently used by this doctor. The second most frequent note `Miscellaneous_nursing_note` is not used as it is mostly written by nurses. The third and fourth top-used notes, `Ancillary_note` and `AMB_Care_Triage_Telephone_Triage_Form` are not frequently used either by this doctor as they are not about clinical data but ancillary hospital services and triage of patient calls. The next most frequent notes, `Pediatric.Walk-in.Note` and `Pediatric.Newpatient.Newborn.Note` are obviously highly used by this doctor and contain valuable clinical information about patients.

We have started a pilot study to analyze this dataset to understand infant colic. We are in the process of acquiring mother and baby data spanning many years of data through 8 OB/GYN and 4 pediatric clinics at NYPH. An estimation of the total number of pairs (mother, baby) is in the order of ten thousands. Data will be acquired from two EHRs systems Eclipsys and WebCIS. The first provides the clinical notes while the second includes lab results, diagnoses and medications.

We are currently building a database for the clinical pediatric corpus. We designed a conceptual model

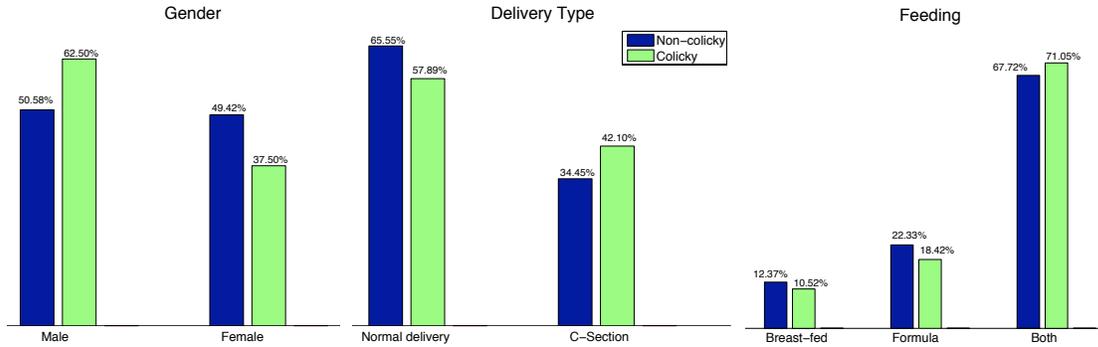


Figure 4. Distribution of gender, delivery type and feeding at 1 month among Colicky and Non-colicky babies.

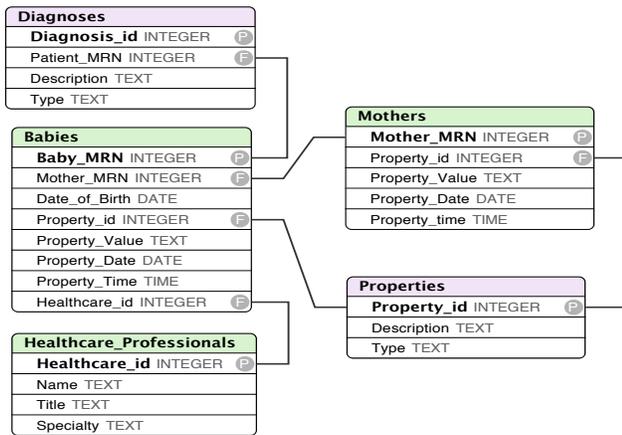


Figure 3. Conceptual model of our pediatric data.

shown in Figure 3. Having a relational database representing the notes will make it easier to query and organize the notes. On the other hand, it will facilitate data preparation for Machine Learning techniques of the database in which we have the following tables:

1. The *Babies* table captures information about the baby and the time series of the recorded properties such as the weight and height.
2. The *Healthcare_Professionals* table includes physicians, nurses, social workers.
3. The *Mothers* table captures information about the mother health and pregnancy information. As for the babies, all the properties of the mother are included in that table.
4. The *Properties* table contains description and type of the properties used to describe entities.
5. The *Diagnoses* contains all diagnoses.

We are currently in the process of annotating the corpus and populating these tables. Specifically, properties such as weights, sex, gender, race, gestational age,

date of birth, delivery type, Apgar scores and anesthesia type are included on the *Babies* and *Properties* tables. Healthcare Professionals is also populated with the physicians, nurses, social workers, speech and hearing therapists etc.

We plan on releasing this corpus after de-identification and the associated database to the research community by the end of the second year of this project.

5. Infant Colic Statistics

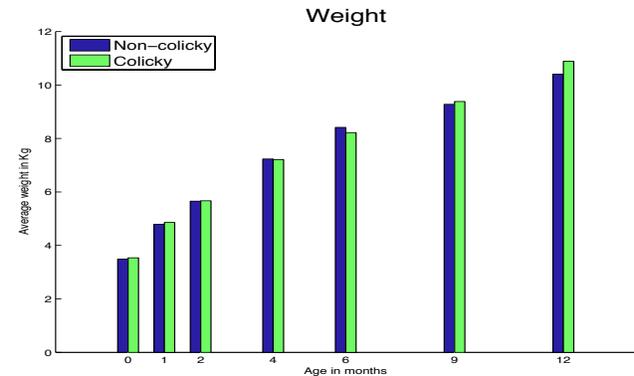


Figure 5. Avg. weights for colicky vs. non-colicky babies.

From the data on 1,240 babies only 40 had “Colic” in their impression section which means only 40 were diagnosed to be *colicky* by the doctor. The statistics for these 40 colicky babies as compared to the 1,200 non-colicky ones is shown in Figure 4, Figure 5, and Figure 6. Among the colicky babies, 62.50% were male while in the non-colicky babies the numbers of male and female were almost equal. For both cases the percentage of Normal Vaginal delivery was higher than C-Section. Among the colicky babies 10.52% were “Exclusively” breast-fed while for the non-colicky percentage was 12.37%. A large number of the babies

was both formula and breast-fed for both colicky and non-colicky case. Pediatrician do use different terms for colic. Considering the terms *Constipation*, *Reflux*, *Fuss(y)*, *Gas(sy)*, *GERD*, *Colic* and *Excessive crying* as possible proxies for colic, we computed the average number of these terms per colicky and non-colicky baby. Numbers show that *Colic*, *Gas(sy)*, *Fuss(y)*, and *Excessive crying* terms are present at higher rates in the colicky babies notes. The average number of times baby care-givers visited the hospital without an appointment was approximately the same for colicky and non-colicky babies, with a slight increase of the number of calls in the colicky babies population. Finally, in order to compare the growth of colicky and non-colicky babies, we plotted the average weight for the two populations at birth, then at 1, 2, 4, 6, 9, and 12 months, which represent the routine visit schedule at the clinic where the weight check is done. We note the growth rate of the colicky and non-colicky babies is almost the same which supports the known fact that colicky babies do grow normally as their peers. Note that the information extraction regarding the weights was particularly challenging, given the presence of many outliers and missing values.

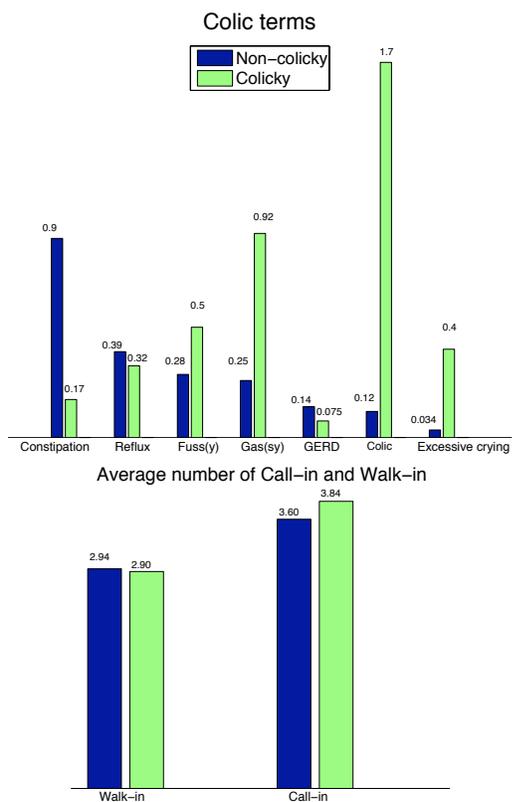


Figure 6. Distribution of colic terms and avg. number of call-in and walk-in notes in Colicky & Non-colicky babies.

6. Topic Models

Topic modeling is an unsupervised machine learning approach to discover the topics discussed in a corpus. The intuition behind topic modeling is that when doctors or nurses prepare to write medical records, they first have in mind a set of topics to address. They fill in the EHRs using words associated with the different topics. Topic modeling identifies which words have the greatest probability of occurring together, and posits an abstract topic that conditions these probabilities.

We create a single document for each patient, which concatenates the content of all notes of that patient. Therefore we end up with 1,240 documents. After generating the topic models for these documents, each document can be represented as a subset of the total topics, each in a proportion dependent on the content words. To preprocess the documents, we strip all the non-content words, and only keep the free text. Words and characters that are removed include section and field names, person names, punctuation, digits and stop-words. After pre-processing, we end up with 4,518,148 tokens representing 33,421 distinct word types.

A topic model consists of a probability distribution over topics, and then for each topic, the probability of each word in the vocabulary. The parameters behind the probability distributions are treated as *latent* variables. By analyzing a set of observations (words in the documents), it is possible to recover the latent structure of the generative model. The particular model we use is based on Latent Dirichlet Allocation (LDA) (Blei et al., 2003) with Gibbs Sampling. For the experiment, we use the Topic Modeling module of MALLET (McCallum, 2002), a machine learning toolkit for natural language processing tasks.

We perform exploratory data analysis to discover the topics for the word *colic* (and variants such as *colicky*). The resulting model depends on the investigator’s choice of k , the number of topics to discover.

Topic index	# of <i>colic</i> tokens	ranking
344	302	1
127	6	62
326	4	86
366	2	51
53	1	216
236	1	270
322	1	210

Table 3. Topics of word *colic*. Summary of the topics related to word *colic* when $K=400$ after 10,000 iterations.

When k is small, topics are very general. The word *colic* becomes more likely when k is large, and the topics are more fine-grained. Here we present two meth-

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